

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE
in its capacity as elected Office

Date of mailing (day/month/year) 06 November 2001 (06.11.01)	
International application No. PCT/IL00/00333	Applicant's or agent's file reference 9955 PCT
International filing date (day/month/year) 07 June 2000 (07.06.00)	Priority date (day/month/year) 07 June 1999 (07.06.99)
Applicant NAAMAN, Ron et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
04 January 2001 (04.01.01)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was
☐ was not

made before the expiration of 18 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer François BAECHLER
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

BEN-AMI, Paulina
Webb, Ben-Ami & Associates
2 Pekeris Street
P.O. Box 2189
76121 Rehovot
ISRAËL

Date of mailing (day/month/year) 06 November 2001 (06.11.01)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 9955 PCT	
International application No. PCT/IL00/00333	International filing date (day/month/year) 07 June 2000 (07.06.00)

1. The following indications appeared on record concerning:	
<input type="checkbox"/> the applicant	<input type="checkbox"/> the inventor <input checked="" type="checkbox"/> the agent <input type="checkbox"/> the common representative
Name and Address BEN-AMI, Paulina Yeda Research and Development Co. Ltd. Weizmann Institute of Science P.O. Box 95 76100 Rehovot Israel	State of Nationality
	State of Residence
	Telephone No. 972-8-9470617
	Facsimile No. 972-8-9470739
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:	
<input type="checkbox"/> the person <input type="checkbox"/> the name <input checked="" type="checkbox"/> the address <input type="checkbox"/> the nationality <input type="checkbox"/> the residence	
Name and Address BEN-AMI, Paulina Webb, Ben-Ami & Associates 2 Pekeris Street P.O. Box 2189 76121 Rehovot Israel	State of Nationality
	State of Residence
	Telephone No. 972-8-947 08 30
	Facsimile No. 972-8-947 08 60
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer François BAECHLER Telephone No.: (41-22) 338.83.38
---	---

10/009/67

PCT COOPERATION TREATY

PCT

500

From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING
OF A CHANGE(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

To:

BEN-AMI, Paulina
Ben-Ami & Associates
P.O. Box 94
76100 Rehovot
ISRAËL

Date of mailing (day/month/year) 03 December 2001 (03.12.01)	IMPORTANT NOTIFICATION
Applicant's or agent's file reference 9955 PCT	
International application No. PCT/IL00/00333	International filing date (day/month/year) 07 June 2000 (07.06.00)

1. The following indications appeared on record concerning:	
<input type="checkbox"/> the applicant	<input type="checkbox"/> the inventor
<input checked="" type="checkbox"/> the agent	<input type="checkbox"/> the common representative
Name and Address BEN-AMI, Paulina Webb, Ben-Ami & Associates 2 Pekeris Street P.O. Box 2189 76121 Rehovot Israel	State of Nationality
	State of Residence
	Telephone No. 972-8-947 08 30
	Facsimile No. 972-8-947 08 60
Teleprinter No.	
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:	
<input type="checkbox"/> the person	<input type="checkbox"/> the name
<input checked="" type="checkbox"/> the address	<input type="checkbox"/> the nationality
<input type="checkbox"/> the residence	
Name and Address BEN-AMI, Paulina Ben-Ami & Associates P.O. Box 94 76100 Rehovot Israel	State of Nationality
	State of Residence
	Telephone No. 972-8-936 50 90
	Facsimile No. 972-8-936 50 92
Teleprinter No.	
3. Further observations, if necessary:	
4. A copy of this notification has been sent to:	
<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input checked="" type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Jean-Marie MCADAMS
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

PCT

NOTIFICATION OF THE RECORDING
OF A CHANGE(PCT Rule 92bis.1 and
Administrative Instructions, Section 422)

From the INTERNATIONAL BUREAU

To:

BEN-AMI, Paulina
Ben-Ami & Associates
P.O. Box 94
76100 Rehovot
ISRAEL

Date of mailing (day/month/year)

03 December 2001 (03.12.01)

Applicant's or agent's file reference

9955 PCT

IMPORTANT NOTIFICATION

International application No.

PCT/IL00/00333

International filing date (day/month/year)

07 June 2000 (07.06.00)

1. The following indications appeared on record concerning:

☐

the applicant

☐

the inventor

☒

the agent

☐

the common representative

Name and Address

BEN-AMI, Paulina
Webb, Ben-Ami & Associates
2 Pekeris Street
P.O. Box 2189
76121 Rehovot
Israel

State of Nationality

State of Residence

Telephone No.

972-8-947 08 30

Facsimile No.

972-8-947 08 60

Teleprinter No.

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

☐

the person

☐

the name

☒

the address

☐

the nationality

☐

the residence

Name and Address

BEN-AMI, Paulina
Ben-Ami & Associates
P.O. Box 94
76100 Rehovot
Israel

State of Nationality

State of Residence

Telephone No.

972-8-936 50 90

Facsimile No.

972-8-936 50 92

Teleprinter No.

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

☒

the receiving Office

☐

the International Searching Authority

☒

the International Preliminary Examining Authority

☐

the designated Offices concerned

☒

the elected Offices concerned

☐

other:

The International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland

Authorized officer

Jean-Marie MCADAMS

Facsimile No.: (41-22) 740.14.35

Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

PCT

REC'D 28 JUN 2003

WIPO PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 9855 PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/IL00/00333	International filing date (day/month/year) 07 JUNE 2000	Priority date (day/month/year) 07 JUNE 1999
International Patent Classification (IPC) or national classification and IPC IPC(7): C12Q 1/68 and US Cl.: 435/6		
Applicant YEDA RESEARCH AND DEVELOPMENT CO., LTD.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 5 sheets.
- ☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).
- These annexes consist of a total of — sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability, citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

RECEIVED

MAY 02 2003

TECH CENTER 1600/2900

Date of submission of the demand 04 JANUARY 2001	Date of completion of this report 15 DECEMBER 2002
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20531	Authorized officer <i>Stephanie Zitomer</i> STEPHANIE ZITOMER
Facsimile No. (703) 305-3230	Telephone No. (703) 305-0196

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/IL00/00333

I. Basis of the report

1. With regard to the elements of the international application:*



the international application as originally filed



the description:

pages 1-33

pages NONE

pages NONE

, as originally filed

, filed with the demand



the claims:

pages 34-36

pages NONE

pages NONE

pages NONE

, as originally filed

, as amended (together with any statement) under Article 19

, filed with the demand



the drawings:

pages 1-9

pages NONE

pages NONE

, as originally filed

, filed with the demand



the sequence listing part of the description:

pages NONE

pages NONE

pages NONE

, as originally filed

, filed with the demand

, filed with the letter of

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language _____ which is:



the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).



the language of publication of the international application (under Rule 48.3(b)).



the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:



contained in the international application in printed form.



filed together with the international application in computer readable form.



furnished subsequently to this Authority in written form.



furnished subsequently to this Authority in computer readable form.



The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.



The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

the description, pages NONE



the claims, Nos. NONE



the drawings, sheets/fig. NONE

5. ☐ This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

**Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/IL00/00333

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non obvious), or to be industrially applicable have not been and will not be examined in respect of:

☐ the entire international application.

☒ claims Nos. 6-18

because:

☐ the said international application, or the said claim Nos. _ relate to the following subject matter which does not require international preliminary examination (*specify*).

☒ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. 6-18 are so unclear that no meaningful opinion could be formed (*specify*).

Claims 6-18 are improper multiple dependent claims under PCT Rule 6.4(a).

☐ the claims, or said claims Nos. _ are so inadequately supported by the description that no meaningful opinion could be formed.

☐ no international search report has been established for said claims Nos. _.

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

☐ the written form has not been furnished or does not comply with the standard.

☐ the computer readable form has not been furnished or does not comply with the standard.

PCT REQUEST

9955 PCT

Original (for SUBMISSION) - printed on 07.06.2000 10:02:50 AM

0	For receiving Office use only	
0-1	International Application No.	PCT/IL 0 0 / 0 0 3 3 3
0-2	International Filing Date	0 7 JUN 2000 (07.06.00)
0-3	Name of receiving Office and "PCT International Application"	ISRAEL PATENT OFFICE PCT International Application
0-4	Form - PCT/RO/101 PCT Request	
0-4-1	Prepared using	PCT-EASY Version 2.90 (updated 10.05.2000)
0-5	Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	Israel Patent Office (RO/IL)
0-7	Applicant's or agent's file reference	9955 PCT
I	Title of invention	DNA DETECTOR BASED ON MOLECULAR CONTROLLED SEMICONDUCTOR RESISTOR
II	Applicant	
II-1	This person is:	applicant only
II-2	Applicant for	all designated States except US
II-4	Name	YEDA RESEARCH AND DEVELOPMENT CO. LTD.
II-5	Address:	at the Weizmann Institute of Science P.O. Box 95 76100 Rehovot Israel
II-6	State of nationality	IL
II-7	State of residence	IL
II-8	Telephone No.	972-8-9470617
II-9	Facsimile No.	972-8-9470739
II-10	e-mail	wypaulin@wis.weizmann.ac.il
III-1	Applicant and/or inventor	
III-1-1	This person is:	applicant and inventor
III-1-2	Applicant for	US only
III-1-4	Name (LAST, First)	NAAMAN, Ron
III-1-5	Address:	12 Tel-Aviv Street 74085 Ness-Ziona Israel
III-1-6	State of nationality	IL
III-1-7	State of residence	IL

PCT REQUEST

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Original (for SUBMISSION) - printed on 07.06.2000 10:02:50 AM

III-2	Applicant and/or Inventor	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	US only
III-2-4	Name (LAST, First)	CAHEN, David
III-2-5	Address:	3 Kipnis Street 76305 Rehovot Israel
III-2-6	State of nationality	IL
III-2-7	State of residence	IL
III-3	Applicant and/or Inventor	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	ARTZI, Reit
III-3-5	Address:	2 Hama'apil Street 76348 Rehovot Israel
III-3-6	State of nationality	IL
III-3-7	State of residence	IL
IV-1	Agent or common representative; or address for correspondence The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	BEN-AMI, Paulina
IV-1-2	Address:	Yeda Research and Development Co. Ltd. at the Weizmann Institute of Science P.O. Box 95 76100 Rehovot Israel
IV-1-3	Telephone No.	972-8-9470617
IV-1-4	Facsimile No.	972-8-9470739
IV-1-5	e-mail	wypaulin@wis.weizmann.ac.il

PCT REQUEST

9955 PCT

Original (for SUBMISSION) - printed on 07.06.2000 10:02:50 AM

V	Designation of States	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT</p> <p>EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT</p> <p>EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT</p> <p>OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT</p>
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	<p>AE AG AL AM AT AU AZ BA BB BG BR BY CA CH&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW</p>
V-5	Precautionary Designation Statement In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	Exclusion(s) from precautionary designations	NONE
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	07 June 1999 (07.06.1999)
VI-1-2	Number	130326
VI-1-3	Country	IL
VI-2	Priority document request The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1
VII-1	International Searching Authority Chosen	United States Patent and Trademark Office (USPTO) (ISA/US)

PCT REQUEST

Original (for SUBMISSION) - printed on 07.06.2000 10:02:50 AM

VIII	Check list	number of sheets	electronic file(s) attached
VIII-1	Request	4	-
VIII-2	Description	32	-
VIII-3	Claims	4	-
VIII-4	Abstract	1	00027096.txt
VIII-5	Drawings	6	-
VIII-7	TOTAL	47	
	Accompanying items	paper document(s) attached	electronic file(s) attached
VIII-8	Fee calculation sheet	✓	-
VIII-9	Separate signed power of attorney		-
VIII-10	Copy of general power of attorney	✓	-
VIII-16	PCT-EASY diskette	-	diskette
VIII-18	Figure of the drawings which should accompany the abstract	Fig. 1a	
VIII-19	Language of filing of the international application	English	
IX-1	Signature of applicant or agent	Paulina Ben-Ami	
IX-1-1	Name (LAST, First)	BEN-AMI, Paulina	

FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	07 JUN 2000 (07.06.00)
10-2	Drawings:	
10-2-1	Received	✓
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/US
10-6	Transmittal of search copy delayed until search fee is paid	✓

FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
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PCT (ANNEX - FEE CALCULATION SHEET)

9955 PCT

Original (for SUBMISSION) - printed on 07.06.2000 10:02:50 AM

(This sheet is not part of and does not count as a sheet of the International application)

0	For receiving Office use only			
0-1	International Application No.	PCT/IL 00 / 00333		
0-2	Date stamp of the receiving Office	07 JUN 2000 (01.06.00)		
0-4	Form - PCT/RO/101 (Annex)			
0-4-1	PCT Fee Calculation Sheet Prepared using	PCT-EASY Version 2.90 (updated 10.05.2000)		
0-9	Applicant's or agent's file reference	9955 PCT		
2	Applicant	YEDA RESEARCH AND DEVELOPMENT CO. LTD., et al.		
12	Calculation of prescribed fees	fee amount/multiplier	total amounts (USD)	total amounts (ILS)
12-1	Transmittal fee T	⇒		437
12-2	Search fee S	⇒	700	
12-3	International fee			
	Basic fee (first 30 sheets) b1	427 USD		
12-4	Remaining sheets	17		
12-5	Additional amount (X)	10 USD		
12-6	Total additional amount b2	170 USD		
12-7	b1 + b2 = B	597 USD		
12-8	Designation fees			
	Number of designations contained in International application	86		
12-9	Number of designation fees payable (maximum 8)	8		
12-10	Amount of designation fee (X)	92 USD		
12-11	Total designation fees D	736 USD		
12-12	PCT-EASY fee reduction R	-132 USD		
12-13	Total International fee (B+D-R) I	⇒	1,201	
12-14	Fee for priority document			
	Number of priority documents requested	1		
12-15	Fee per document (X)	0 ILS		
12-16	Total priority document fee P	⇒		0
12-17	TOTAL FEES PAYABLE (T+S+I+P)	⇒	1,901	437
12-18	Mode of payment	postal money order		

VALIDATION LOG AND REMARKS

13-2-6	Validation messages Contents	Yellow Accompanying item "separate signed power of attorney" has not been indicated as enclosed.
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PCT (ANNEX - FEE CALCULATION SHEET)

9955 PCT

Original (for SUBMISSION) - printed on 07.06.2000 10:02:50 AM

		Green? Reference number for attached copy of general power of attorney not indicated.
13-2-10	Validation messages For receiving Office/International Bureau use only	Green? Verify electronic data for consistency against printed form.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
14 December 2000 (14.12.2000)

PCT

(10) International Publication Number
WO 00/75276 A2

(51) International Patent Classification⁷: C12N

(21) International Application Number: PCT/IL00/00333

(22) International Filing Date: 7 June 2000 (07.06.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
130326 7 June 1999 (07.06.1999) IL

(71) Applicant (for all designated States except US): YEDA
RESEARCH AND DEVELOPMENT CO. LTD.
[IL/IL]; Weizmann Institute of Science, P.O. Box 95,
76100 Rehovot (IL).

[IL/IL]; 12 Tel-Aviv Street, 74085 Ness-Ziona (IL). CA-
HEN, David [IL/IL]; 3 Kipnis Street, 76305 Rehovot (IL).
ARTZI, Reit [IL/IL]; 2 Hama'apil Street, 76348 Rehovot
(IL).

(74) Agent: BEN-AMI, Paulina; Yeda Research and Develop-
ment Co. Ltd., Weizmann Institute of Science, P.O. Box
95, 76100 Rehovot (IL).

(81) Designated States (national): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE,
DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU,
ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS,
LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO,
NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR,
TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

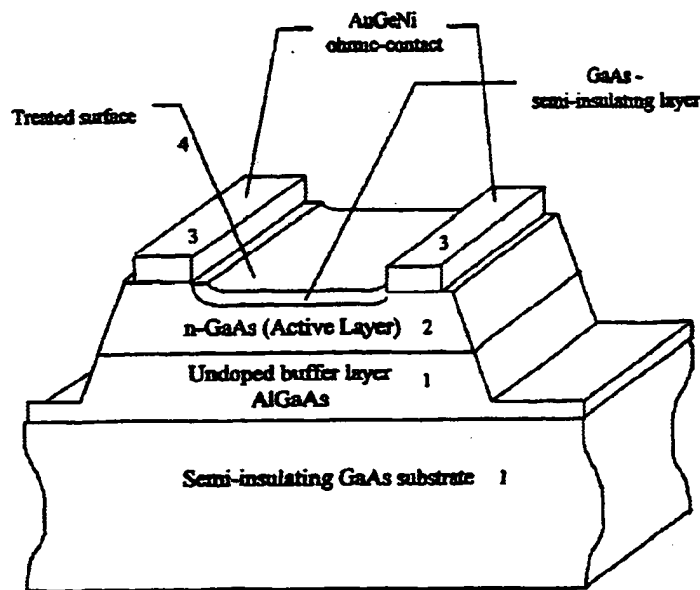
(72) Inventors; and

(75) Inventors/Applicants (for US only): NAAMAN, Ron

(84) Designated States (regional): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian

[Continued on next page]

(54) Title: DNA DETECTOR BASED ON MOLECULAR CONTROLLED SEMICONDUCTOR RESISTOR



(57) Abstract: A semiconductor device is provided for the detection of a target DNA or RNA, for example for the detection of a mutation in a gene responsible for a genetic disorder, said device being composed of: (i) at least one layer of a conducting semiconductor such as doped n-GaAs or n-(Al,Ga)As; (ii) at least one insulating or semi-insulating layer such as of an undoped semiconductor, e.g., n-GaAs or n-(Al,Ga)As; (iii) at least one single-stranded DNA probe directly adsorbed on the surface of an upper layer which is either a conducting semiconductor layer (i) or an insulating or semi-insulating layer (ii); and (iv) two conducting pads on the upper layer making electrical contact with the conducting semiconductor layer (i),

[Continued on next page]

WO 00/75276 A2



patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

— *Without international search report and to be republished upon receipt of that report.*

such that electrical current can flow between them at a finite distance from the surface of the device. In an alternative, the upper layer has no single-stranded DNA probe and such probe can be adsorbed on the upper layer by the user at will. The DNA probe may have a sequence complementary to a sequence of the target DNA or RNA, and the detection of the target DNA is carried out by hybridization with the probe and monitoring either the current change resulting from the hybridization process when a constant electric potential is applied between the two conducting pads or measuring the change in the electric potential required to keep a constant current. Also provided are arrays of said devices suitable for DNA analysis and detection.

DNA DETECTOR BASED ON MOLECULAR CONTROLLED SEMICONDUCTOR RESISTOR

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Field of the Invention

The present invention relates to DNA detectors, and more particularly to a detector of DNA and RNA sequences and DNA mutations, based on molecular
10 controlled semiconductor resistors.

Background of the invention

Deoxyribonucleic acid (DNA) is the genetic information carrier found predominantly in the nucleus of living cells and constitutes the primary genetic
15 material of all cellular organisms and of DNA viruses.

DNA is a linear or circular double-stranded helical polymer, each strand containing a sugar-phosphate backbone composed of deoxyribose sugar moieties substituted by phosphate groups at their 5' and 3' hydroxyls, the sugar groups being connected to 4 purine and pyrimidine bases usually indicated by their initial letters,
20 namely, adenine (A), guanine (G), cytosine (C) and thymine (T). The two single strands of the DNA (ssDNA) are connected through hydrogen bonds between the complementary base pairs A-T and C-G (the Watson-Crick base pairs), of the two strands.

Genes are segments of DNA molecules containing all the information
25 required for synthesis of a product, namely, a protein/polypeptide chain with a certain biological function or an RNA molecule. A mutation in a gene, e.g., a change in one or more base pairs of the normal gene, may result in a protein product with a change in biological function and, thus, in a genetic defect or disease.

30 In the biological process of synthesis of polypeptide chains, the first step is transcription whereby the double-stranded DNA (dsDNA) serves as a template for

synthesis of a single-stranded ribonucleic acid (RNA) with a base sequence complementary to one strand of the double-stranded DNA. In the second step, the translation, the polypeptide chain is synthesized using the RNA as a template. The amino acid sequence of the protein is completely determined by the sequence of bases in the RNA, which in turn is determined by the sequence of bases in the DNA of the gene from which it was transcribed.

The two single strands constituting a double-stranded DNA (dsDNA) can be separated by heating the DNA to $\sim 90^{\circ}\text{C}$ or by increasing the pH to extreme values, in a process called denaturation. The denatured complementary strands can react again to form the dsDNA by slow cooling of the DNA to the body temperature or by reducing the pH back to neutral, in a process called hybridization.

The hybridization process provides a powerful technique to detect mutations in a DNA sequence due to the selective binding of a single-stranded DNA (ssDNA) to its complementary strand. This specific property of the DNA is referred to as the "recognition" process.

The selectivity of the hybridization is affected by the conditions in which this process is done, i.e., the solvent (ionic strength) and the temperature. It is expected that the hybridization kinetics will depend on the number of complementary bases. The difference in hybridization rates, when the number of complementary bases changes, is an indication of the selectivity of the process.

Fast and efficient determination of DNA sequences and, particularly, the identification of mutations occurring in DNA sequences, is of major importance in the diagnosis of genetic diseases and in certain cases of cancer.

Several methods have been developed in recent years for the detection and isolation of specific DNA sequences, for example for diagnostic applications. Some of these methods are based on molecular hybridization whereby formation of a partially or fully complementary nucleic acid duplex occurs by association of single strands, usually between DNA and RNA strands, but also between RNA strands. In the technique of *in situ* hybridization, a known nucleic acid sequence, single stranded and usually with radioactive or fluorescent labels, is applied to a DNA-containing sample and annealing occurs *in situ*.

Conventional techniques exist for automated DNA sequencing and mutation detection. However, some of these methods include radioactive labeling, which require special handling techniques. In addition, obtaining results using the old methods may take several days. The computerized methods with similar principles are faster but expensive.

The sensors that are currently used for mutation detection are usually based on a probe ssDNA that is immobilized on a substrate, which can be silicon, polymer, gold, etc. The substrate is connected to a transducer that translates the signal of the events occurring on the surface into a physically measurable parameter. The addition of the target DNA and its level of hybridization to different probes on the substrate is indicated by a change in the signal. The hybridization between two fully complementary strands yields a change in the signal, that should be distinguished significantly from the hybridization between two non-complementary or partially complementary strands.

The currently developed detectors can be categorized according to the detection methods they use. Electrochemical-, fluorescent- and transistor-based detectors are known wherein the first step in their development is the immobilization process, in which a DNA strand is connected to a substrate.

One example of DNA sensors, based on fluorescence detection, is described by Nemoto et al. (US Patent No. 5,556,529) or by Livache et al., 1994. In that method, DNA sequences are amplified by replication for better sensitivity and then transcribed to double-stranded RNA. The synthesis of double-stranded RNA is quantified by fluorescence.

A second example of DNA sensors is electrochemically-based (Wang et al., 1996), in which a single-stranded DNA, which is adsorbed on an electrode, reacts with a complementary strand and the reaction is monitored by chronopotentiometric measurements.

Another detection method of biological reactions is based on the use of a field effect transistor (Schenk, US Patent No. 4,238,757) whereby the current between two electrodes in a silicon-based transistor is measured as a function of the

voltage on a third electrode inserted in a biological solution, which is placed in the gap between the two electrodes.

PCT Publication No. WO 98/19151 (Cahen et al., 1998), of the same applicants of the present application, herein incorporated by reference as if herein described in its entirety, describes a hybrid organic-inorganic semiconductor device and sensors based thereon, said device characterized by being composed of :

- (i) at least one layer of a conducting semiconductor;
(ii) at least one insulating layer;

(iii) a multifunctional organic sensing molecule directly chemisorbed on one of its surfaces, said multifunctional organic sensing molecule having at least one functional group that binds to said surface and at least one other functional group that serves as a sensor; and

(iv) two conducting pads on the top layer making electrical contact with the electrically conducting layer (i), such that electrical current can flow between them at a finite distance from the surface of the device.

These molecular controlled semiconductor resistors, herein designated MOCSER, are hybrid organic-inorganic semiconductor devices composed of one or more insulating or semi-insulating layers, one conducting semiconductor layer, two conducting pads, and a layer of multifunctional organic molecules, characterized in that: (i) said conducting semiconductor layer is on top of one of said insulating or semi-insulating layers; (ii) said two conducting pads are on both sides on top of an upper layer which is either said conducting semiconductor layer or another of said insulating or semi-insulating layers, making electrical contact with said conducting semiconductor layer; and (iii) said layer of multifunctional organic molecules is directly bound through at least one of said functional groups to the surface of said upper layer, between the two conducting pads, and at least another of said functional groups of said multifunctional organic molecules binds chemicals or absorbs light. The multifunctional organic molecules are directly bound to the surface of said upper conducting semiconductor layer or insulating or semi-insulating layer through at least one functional group selected from one or more aliphatic or aromatic carboxyl, thiol, acyclic sulfide, cyclic disulfide,

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hydroxamic acid and trichlorosilane groups. The at least another functional group of said multifunctional organic molecules that binds chemicals may be a metal-binding and metal-detecting group selected from radicals derived from hydroxamic acids, bipyridyl, imidazol and hydroxyquinoline that can detect a metal ion such as Cu^{2+} , Fe^{2+} and Ru^{2+} metal ions. The at least another functional group of said multifunctional organic molecules that absorbs light is selected from aliphatic or aromatic hydroxamates, substituted aromatic groups such as cyanobenzoyl and methoxybenzoyl, bipyridyl groups, hydroxyquinoline groups, or imidazolyl groups to which a metal porphyrin or a metalophthalocyanin residue is attached. Examples of said multifunctional organic molecules are 2,3-di(p-cyanobenzoyl) tartaric acid (DCDC), 4,5-di(p-cyano-benzoyloxy)- 1,2-dithiane (DCDS), 4,5-di(p-methoxy-benzoyloxy)-1,2-dithiane (DMDS) and 1,2-dithiane-4,5-di(hydroxyquinoline) and the Cu^{2+} complex thereof.

The device described in WO 98/19151 serves as a generic amplifier for chemical processes occurring on its surface. In one example, the device is based on a GaAs/(Al,Ga)As structure which is built in such a way that the current through it is extremely sensitive to the electric potential on its surface. As a result the device is very sensitive to any change in the charge distribution in molecules that are adsorbed on its surface (Gartsman et al., 1998; Vilan et al., 1998).

Summary of the Invention

It has now been found, according to the present invention, that a device such as that described in WO 98/19151 can serve as a DNA sensor and can specifically be applied for DNA analysis and as a detector for DNA mutations. This device will be sometimes herein referred to as MOCSEER.

The present invention thus relates to a semiconductor device (MOCSEER) for the detection of a target DNA or RNA, said device being composed of:

- (i) at least one layer of a conducting semiconductor;
- (ii) at least one insulating or semi- insulating layer;

(iii) at least one single-stranded DNA probe directly adsorbed on the surface of an upper layer which is either a conducting semiconductor layer (i) or an insulating or semi-insulating layer (ii); and

5 (iv) two conducting pads on the upper layer making electrical contact with the conducting semiconductor layer (i), such that electrical current can flow between them at a finite distance from the surface of the device.

In one embodiment, the semiconductor device of the invention is composed of one or more insulating or semi-insulating layers (1), one conducting semiconductor layer (2), two conducting pads (3), and a layer of at least one
10 single-stranded DNA probe (4), characterized in that:

said conducting semiconductor layer (2) is on top of one of said insulating or semi-insulating layers (1),

said two conducting pads (3) are on both sides on top of an upper layer which is either said conducting semiconductor layer (2) or another of said
15 insulating or semi-insulating layers (1), making electrical contact with said conducting semiconductor layer (2),

and said at least one single-stranded DNA probe (4) is adsorbed on the surface of said upper layer, between the two conducting pads (3).

In another aspect, the upper layer of the MOCSER has no DNA probe and at
20 least one DNA probe can be adsorbed thereon at will by the user.

Thus, according to this aspect, the invention provides a semiconductor device (MOCSER) for the detection of a target DNA or RNA, said device being composed of:

(i) at least one layer of a conducting semiconductor;
25 (ii) at least one insulating or semi-insulating layer;
(iii) an upper layer which is either a conducting semiconductor layer (i) or an insulating or semi-insulating layer (ii) on the surface of which upper layer can be directly adsorbed at least one single-stranded DNA probe; and

(iv) two conducting pads on the upper layer making electrical contact with
30 the conducting semiconductor layer (i), such that electrical current can flow between them at a finite distance from the surface of the device.

In one embodiment of this aspect, the MOCSEK is composed of one or more insulating or semi-insulating layers (1), one conducting semiconductor layer (2), and two conducting pads (3), characterized in that:

5 said conducting semiconductor layer (2) is on top of one of said insulating or semi-insulating layers (1),

said two conducting pads (3) are on both sides on top of an upper layer which is either said conducting semiconductor layer (2) or another of said insulating or semi-insulating layers (1), making electrical contact with said conducting semiconductor layer (2),

10 and whereby on the surface of said upper layer, between the two conducting pads (3), may be directly adsorbed a layer of at least one single-stranded DNA probe (4).

The semiconductor of layer (2) of a MOCSEK of the invention may be a semiconductor selected from a III-V and a II-VI material, or mixtures thereof, 15 wherein III, V, II and VI denote the Periodic Table elements III =Ga, In; V=As, P; II=Cd, Zn; VI=S, Se, Te. In preferred embodiments, the conducting semiconductor layer (2) is doped n-GaAs or doped n-(Al,Ga)As, preferably doped with Si.

In another embodiment, the one or more insulating or semi-insulating layers (1) of a device of the invention, that may serve as the base for the device, is a 20 dielectric material selected from silicon oxide, silicon nitride or from an undoped semiconductor selected from a III-V and a II-VI material, or mixtures thereof, wherein III, V, II and VI denote the Periodic Table elements III =Ga, In; V=As, P; II=Cd, Zn; VI=S, Se, Te, and is preferably undoped GaAs or (Al,Ga)As substrate.

In one preferred embodiment, the MOCSEK of the invention is based on a 25 GaAs/(Al,Ga)As structure.

According to this preferred embodiment, there is provided a MOCSEK wherein said conducting semiconductor layer (2) of doped n-GaAs is on top of a semi-insulating layer (1) of (Al,Ga)As which is on top of another semi-insulating layer (1) of GaAs, and on top of said conducting semiconductor doped n-GaAs 30 layer (2) there is a semi-insulating undoped GaAs layer (1) to which is attached said layer of said at least one single-stranded DNA (4) probe.

According to this same preferred embodiment, there is further provided a MOCSEr wherein said conducting semiconductor layer (2) of doped n-(Al,Ga)As is on top of an insulating layer (1) of undoped GaAs which is on top of a semi-insulating layer (1) of GaAs, on top of said conducting semiconductor doped n-(Al,Ga)As layer (2) there is a semi-insulating undoped (Al,Ga)As layer (1) on top of which there is an upper undoped GaAs semi-insulating layer (1), and said layer of at least one single-stranded DNA probe (4) is attached to the upper undoped GaAs semi-insulating layer (1).

The single-stranded DNA probe will vary according to the purpose of the detection and the type of DNA or RNA to be detected. In one preferred embodiment, the probe comprises a sequence that is complementary to a sequence of a target DNA or RNA.

Many genetic diseases and disorders are caused by a single or a plurality of mutations in one gene. For example, mutations are known in genes responsible for genetic disorders like cystic fibrosis (CF), hemophilia, Tay-Sachs disease, Gaucher's disease, sickle cell anemia, etc., and over 50% of cancerous tumors in humans carry a mutation in the tumor suppressor p53 gene.

When it is intended according to the invention to detect such a mutation, i.e. the target DNA in a sample comprises a mutation of a gene responsible for a genetic disease or disorder, the single-stranded DNA probe will comprise a sequence complementary to said mutation sequence. When two or more different mutations are known in the same gene or in separate genes, the device may contain two or more single-stranded DNA probes, each of said probes comprising a sequence being complementary to a different mutation sequence of said gene or genes responsible for a genetic disease or disorder.

The invention also relates to an array of semiconductor devices (MOCSErS) as described above, wherein each device in the array carries a different DNA probe.

In one preferred embodiment, at least one of said MOCSErS in the array carries a DNA probe comprising a sequence complementary to a sequence of a target DNA or RNA.

For the detection of a mutation in a target gene responsible for a disease or disorder, at least one of the devices in the array carries a DNA probe comprising a sequence complementary to said mutation sequence and at least another of said devices in the array carries a control DNA probe comprising a sequence complementary to the sequence of the normal gene corresponding to said mutation. If there are two or more known mutations, the array will comprise as many devices comprising DNA probes complementary to the mutations and as many devices with control DNA probes complementary to the corresponding sequences of the normal gene.

The array of MOCSEs can also be used as an alternative to DNA chips for gene expression analysis, for example, for analysis of DNA or RNA expression in tissues or in any other expression system

The invention further comprises a method for the detection of a target DNA or RNA which comprises:

(i) exposing the single-stranded DNA probe of at least one semiconductor device or of an array of the invention, to a sample containing the target DNA or RNA, under hybridization conditions; and

(iii) monitoring either the current change resulting from the hybridization process when a constant electric potential is applied between the two conducting pads or measuring the change in the electric potential required to keep a constant current.

The DNA probe used in the present invention will be of a suitable length as usually used in DNA technology. Preferably, the probe is an oligonucleotide of above 10 nucleotides. For the detection of mutations, best results can be expected with 10-20 mer oligonucleotides. For DNA analysis, larger oligonucleotides may be suitable.

For the detection of a single mutation, a sample comprising a target DNA comprising said mutation will be reacted with a single-stranded DNA probe of a MOCSE of the invention comprising a sequence complementary to said mutation sequence. For the detection of multiple mutations, multiple complementary DNA

probes may be adsorbed on the upper layer of a single MOCSEr or an array of MOCSErs, each with a different complementary DNA probe, may be used.

The hybridization procedure is carried out by standard procedures well known in the art. A successful hybridization between the two complementary DNA strands is followed by a change in the current, when a constant electric potential is applied between the two conducting pads, or by a change in the electric potential required to keep a constant current.

For example, for the detection of cystic fibrosis (CF), an array of MOCSEr units carrying DNA probes with sequences complementary to the prevalent CF mutations can be hybridized with target DNA samples obtained from different individuals. A comparison of the signals received from the array of MOCSEr units carrying the CF specific probes annealed with the DNA of the tested samples may not only indicate that the tested DNA carries a CF mutation but may also identify the exact CF mutation within the DNA sample. Thus, the MOCSEr technology can be an advantageous alternative to replace more cumbersome and expensive procedures used currently for detection of DNA mutations.

The MOCSEr technology according to the invention can be used also for gene expression analysis as an alternative to DNA chips, avoiding the need to use labeled DNA. Thus, for example, to analyze the expression of a known gene in a tissue or in any expression system, e.g. bacteria, yeast, mammalian cells, plant cells, etc., RNA samples can be hybridized with an array of MOCSEr units carrying DNA probes complementary to the sequences of the target gene.

Another application of the MOCSEr technology consists in the analysis of non-identified DNA sequences such as ESTs. Thus, MOCSEr units carrying DNA probes complementary to the sequences of known and unknown genes, for example of genes specifically expressed in particular tissues, are exposed to samples containing an unidentified denatured DNA sequence under hybridization conditions. The hybridization to a known DNA probe of a MOCSEr unit is a tool for the characterization of the unidentified DNA, for example, for identification of the tissue of origin.

Other applications of DNA detection and analysis can be performed with the devices and methods of the invention and they are all encompassed herein within the scope of the invention.

5 **Brief Description of the Drawings**

The present invention will be understood and appreciated more fully from the following detailed description, taken in conjunction with the examples and drawings, in which:

Figs. 1a-1b depict schemes of the MOCSER device of the present invention:

10 1a – the layer structure ; 1b – the layout.

Figs. 2A-C show infra red (IR) spectra of ssDNA : free DNA (cystic fibrosis (CF) 33-mer peptide) in KBr pellet (A); DNA (control B2) on GaAs, after washing with ethanol (B) and after rinsing in water (C).

15 **Fig. 3** depicts IR spectra of adsorbed ssDNA (control B2) - after rinsing (solid line), after hybridization with complementary strand (thick solid line), and after reaction with the same strand (dashed line) and with non-complementary strand of 3-bases modified (dotted line). The presented hybridization spectra are shown after the subtraction of the adsorbed ssDNA (rinsing) spectrum.

20 **Fig. 4** depicts IR spectra of adsorbed dsDNA (control B2) (thick solid line), adsorbed ssDNA (solid line), and adsorbed ssDNA after hybridization with its complementary strand (dashed line),

Fig. 5 shows the measured current through the MOCSER during the adsorption of ssDNA (CF sequence). A constant potential of 100mV is kept between the source and the drain.

25 **Figs. 6A-6B** show the measured current through the MOCSER, while an adsorbed ssDNA (CF sequence) reacts with its complementary strand (6A) and with a non-complementary strand (F-508) (6B).

Detailed Description of the Invention

30 A Molecular Controlled Semiconductor Resistor (MOCSER) according to the invention was developed as disclosed in WO 98/19151 as a multilayered GaAs

based device as depicted in Fig.1, which contains a n-doped GaAs upper layer (active layer, 50nm thick) that is close to the surface. The active layer lies between semi-insulating layers, e.g. an undoped GaAs layer (about 5nm thick) and a semi-insulating AlGaAs layer (about 150nm thick) above a GaAs semi-insulating substrate, connected to two ohmic contacts, e.g AuGeNi.

In the MOCSEr device, as disclosed before (Gartsman et al., 1998), the maximum electron density is at a depth of 30-50nm from the surface in the active layer. Therefore, small changes on the surface, which change the surface potential, affect the resistance of the device. The MOCSEr is very sensitive to chemical changes on the surface, but light, temperature, etc also affect it. Therefore, in order to make it a reliable sensor, the changes in the measured current that result from chemical process should be distinguished from the other effects.

The difference between the MOCSEr and a common Si-FET (Field Effect Transistor) device is that in the latter the resistivity is sensitive to external field that can be applied by the gate, while in the MOCSEr the adsorbed molecules modify the internal field (Vilan et al., 1998).

According to the present invention, a device for detection of irregularities in a DNA sequence is provided that is based on a MOCSEr structure, preferably of a GaAs/(AlGa)As device, where on top of one of its surfaces a single-stranded DNA sequence is adsorbed. A current flows through the device when a voltage is applied between its two electrodes. When the adsorbed single-stranded DNA interacts with its complementary DNA sequence, the charge distribution in the molecules changes. The change in the charge distribution affects the current flowing through the device. Therefore specific binding can be detected and the kinetics of the reaction of the adsorbed molecule with its complementary one can be monitored.

The present invention will be more fully appreciated from the following detailed description and Examples taken in conjunction with the drawings.

Reference is now made to Fig. 1 which depicts schematically a DNA detector according to the invention based on a field effect transistor (FET) in which two electrodes are used. This FET-like device structure has an extra semi-insulating/undoped buffer (Al,Ga)As layer (1) (150 nm thick) on top of a

semi-insulating GaAs substrate (1), a thin layer (50 nm thick) of conducting semiconductor n-GaAs (2) (active layer) on top of the semi-insulating (Al,Ga)As layer (1), a protective upper thin layer of undoped semi-insulating GaAs layer (1) (5 nm thick) covering the conducting semiconductor n-GaAs layer (2), and a thin
5 film (4) of single-stranded DNA adsorbed on the undoped GaAs surface (1). Two conducting AuGeNi electrodes (3) serve as electrical contacts. These are the two ohmic contacts - source and drain, connected to the n-doped GaAs active layer that lies between the semi-insulating layers.

This molecular controlled semiconductor resistor (MOC SER) is highly
10 sensitive to chemical changes on its surface. The molecules which are adsorbed on the GaAs surface change the surface potential, which affects the resistance of the MOC SER. The MOC SER also has a short response-time (Vilan et al., 1998) and its operation is very simple.

The detection of a specific sequence of DNA is important for diagnosis of
15 diseases due to genetic defects, namely, changes in the naturally-occurring DNA sequence.

The hybridization reaction between complementary strands of DNA is a very important tool for the detection of DNA sequences. The combination of the sensitivity of the MOC SER with the recognition properties of DNA are the basic
20 principles behind the present invention. The development of a DNA detector which is sensitive to hybridization reaction between complementary strands is achieved by the present invention by adsorbing a single strand of DNA on the MOC SER, which ssDNA will react with its complementary DNA strand present in a sample. Since this reaction will induce changes in the current through the MOC SER, a small
25 electric potential applied between the two electrodes that have contact with the conducting layer in the device, will measure the change in the current. The changes in the current should be specific to the hybridization reaction, and should be different from the changes in the current due to other reactions. The change in the current indicates that the adsorbed single-stranded DNA is bound to its
30 complementary strand. The rate of current change is directly correlated to the rate of the reaction between the molecules.

The invention will be further illustrated by the following non-limitative examples.

EXAMPLES

5 a) General method

The electronic properties of semiconductor devices are strongly affected by the properties of the surface, which can be modified by adsorbed molecules. The interaction between the adsorbate and the substrate causes electrons to be transferred from the adsorbate to the substrate, or vice versa, depending on the position of the energy states in the two phases. Thus, the surface charge density and distribution can be changed by the adsorbates, and the effect of the adsorption can be determined.

GaAs is a III-V compound semiconductor with a direct band-gap of 1.42V. In the experiments herein, GaAs (100) surface was used and the single-stranded (ss)DNA was adsorbed on the GaAs surface. It is important to note that there are no reports in the literature of binding of DNA to GaAs. By characterizing the adsorption process and other reactions on the GaAs surface, the effect of DNA on the MOCSEER can be understood better.

Employing a MOCSEER surface made of GaAs(100), the adsorption of DNA on GaAs wafer serves as a model for the adsorption on the MOCSEER. The ssDNA is deposited from a drop of its water solution on the GaAs wafer. The ssDNA is either unmodified or modified with a functional group such as carboxyl, thiol or sulfide at one end of the strand, in which case these groups bind chemically to GaAs.

The adsorption process is monitored using Fourier Transform Infra Red-spectroscopy (FTIR) spectroscopy and contact-angle measurements. By FTIR one can characterize the nature and the orientation of the adsorbed molecules on the GaAs surface. The ss DNA, e.g. an oligonucleotide, has several vibrational bands that can be detected by IR spectroscopy. The main features are: (1) carbonyl groups from different regions in the DNA bases: (2) C=C and C=N bonds from the

conjugated rings of the bases: (3) aliphatic group of the sugar; and (4) phosphate group.

Contact-angle measurements can be used to study the packing of the adsorbed DNA molecules on the GaAs surface.

5 The reaction of the ssDNA with the complementary DNA strand is monitored via changes in the current through the MOCSEER. A drop of the complementary DNA strand solution is deposited, followed by annealing, to allow the strands to bind through the complementary base-pairs. This reaction takes place both on the GaAs wafer and on the MOCSEER. The change in the current through
10 the MOCSEER should be reproducible and specific to the hybridization reaction.

The current-voltage (I-V) characteristic of the MOCSEER shows the change of the surface potential, resulting from the adsorbed DNA molecules. The chemical changes on the MOCSEER surface affect its resistance and hence the current. The changes of the current in time are monitored at constant voltage values.

15 The selectivity of the reaction is determined by using mismatched, non-complementary DNA strands. Thus, the magnitude and the time constant of the change in the current through the MOCSEER during the mismatched reaction should be different from the changes in the current during the hybridization reaction with the matched DNA strand.

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b) The DNA solutions

The DNA which was adsorbed on the GaAs wafer was chemically synthesized and then purified (at the oligonucleotides synthesis laboratory at the Weizmann Institute of Science, Rehovot, Israel) in order to have almost 100% of
25 the required sequence. For the studies with the XPS (X-ray Photoelectron Spectroscopy) and with the MOCSEER, the DNA was not purified, and it was estimated to contain about 50% of the specified strand. The other strands in the mixture solution were shorter.

The oligonucleotides were dissolved in water (HPLC, after autoclave) to
30 obtain solutions of 1.3mM. The sequences of the adsorbed ssDNA used were

either an oligonucleotide with a sequence of the gene responsible for the genetic disease cystic fibrosis (CF), herein identified as **CF-normal** (33 bases), or a shorter control oligonucleotide, herein **control-B2** (20 bases), of the sequences:

5 **CF-normal:** 5'-ACCATTAAAGAAAATATCATCTTTGGTGTTC-3'

Control B2: 5'-GTCAAGATGCTACCGTTCAG-3'

The CF-complementary and CF-non-complementary strands that were used were:

CF-complementary:

10 5'- TGGTAATTTCTTTTATAGTAGAAACCACAAAGG-3'

CF-non-complementary (F-508):

5'-GGAAACACCAATGATATTTTCTTTAATGGT-3'

Control B2-complementary: 5'-CAGTTCTACGATGGCAAGTC-3'

Control B2-non-complementary (3 bases different):

15 5'- CTGAATTATAGCATCTTGAC-3'

Control B2-non-complementary: 5'-CTGAATTATAGCATCTTGAC-3'

The complementary or non-complementary strands were dissolved in a hybridization solution of: 1M NaCl, 10mM Tris pH 7.6 and 0.005% Triton X-100, prepared according to the providers' instructions (Affymetrix, Gene Chip, Ye6100).

The adsorbed dsDNA was prepared as follows: equal amounts of the ssDNA and its complementary strand were mixed. The mixture was heated in a hot water bath of 70°C for 10min to assist the separation of the strands. Slow cooling to 35°C, in about 80-90min, enabled the hybridization between the complementary strands.

25 The cooled solution was concentrated to 1.3mM.

c) Adsorption on GaAs wafer

The samples of 4x4mm² undoped-GaAs(100) (Am. Xtal Tech.) were boiled for 10min in each of the following materials: trichloroethylene (chemically pure), acetone (analytical) and methanol (absolute).

The etching was performed according to the bromine/methanol procedure: each sample was actively rinsed through a multistep cycle, starting with a 0.05%v Br₂/methanol solution (bromine extra pure in absolute methanol) for 15sec. Next, the sample was rinsed in absolute methanol and in water for 7-8 seconds each, followed by 15sec rinsing in 1M KOH. The cycle was completed by rinsing in water and in methanol, and finally in the Br₂/methanol solution. This cycle was repeated three times and then it was rinsed in absolute methanol for 8 seconds more, followed by drying under nitrogen.

The clean and etched samples were put in open dry vials that were laid in a petri dish filled with water. The dishes with the samples were placed in an oven, at 23-28°C, in nitrogen atmosphere. The DNA was deposited on the sample from a 15µl drop of the solution. After the introduction of DNA solution, the oven's door was closed and the nitrogen flow was stopped, for an overnight adsorption.

d) The hybridization process

The GaAs samples with the adsorbed ssDNA were laid in the same system as in the ssDNA adsorption procedure. The temperature of the oven was 55-60°C. The complementary/non-complementary strand in the hybridization solution was heated in a hot water bath of 95-100°C for 5min, to induce denaturation of the DNA. The hybridization process was performed by depositing a 15µl drop of the complementary/non-complementary strand solution on the sample for ~70min.

After the hybridization, the samples were rinsed for 90sec in a washing solution of 3ml X20 SSPE, 5µl 10% Triton X-100 and 7ml water, prepared according to the providers' instructions (Affymetrix, Gene Chip, Ye6100) but with a concentration 6 times higher.

e) Infra-red measurements

Infrared (IR) spectroscopy probes the vibrational mode in molecules. This method can serve to characterize adsorbed molecules on surfaces, their chemical state and orientation. The spectrum is plotted as intensity vs. energy (in

wavenumber units). Specific vibration in a certain chemical bond absorbs specific energy and has well characterized feature in the spectrum. Therefore, changes in the bonds or in their environment can be recognized by changes in the intensity or as shifts in the absorption energy in the spectrum.

5 Some of the advantages in studying DNA with IR, compared to other techniques, are: the possibility to test samples in various physical states (solutions, solids etc.), the possibility to study molecules that are different in size, and the high sensitivity that enable to investigate small amount of material.

10 The spectral range studied is $1800-800\text{cm}^{-1}$ and it consists of bands of different groups: the bases, the sugar and phosphate. Different conformation (A, B or Z) of DNA and the transition between them as the result of varied conditions can be determined. For example, when the relative humidity (R.H.) is decreased, the conformation changes from B (100% RH) to A (58%RH) as indicates by the changes in the spectral range of $1000-800\text{cm}^{-1}$. By analyzing the changes in the
15 characteristic bands, the group that is bound to the surface can also be determined.

GaAs was used by Vilan et al, 1998, as a substrate for the adsorption of di-carboxylic derivatives through the carboxylic groups. The adsorption is indicated by the changes in the bands of these groups.

20 The results were compared with a reference spectrum. The reference was obtained from a GaAs sample after cleaning and etching, as described above. The adsorbed samples were measured after washing for 30sec in ethanol, followed by 10sec rinsing in acetone and drying with nitrogen. This measurement resulted in the spectrum indicating an excess of DNA on the surface. Next, the sample was rinsed for 90sec in water and dried under nitrogen, to remove the excess of DNA.

25 The samples after the hybridization process were measured as follows: first measurement was taken after drying with nitrogen (excess spectrum), followed by measurement after rinsing the sample in a washing solution, as described in (c) above.

f) Experiments with the MOCSER

The MOCSER that is used for solution reactions has a needle-shape. The contact between the solution and the electric contacts is avoided by encapsulating material. Epoxy resin (Fig. 1b) was used in this work for the encapsulation.

5 Before the adsorption of DNA the surface of the MOCSER was etched. The etching process was performed by rinsing of the MOCSER in a 1:10v/v NH_4OH :water solution for 3 sec, followed by rinsing in water for 10 sec and drying with nitrogen.

10 The etched MOCSER was put in the sample holder and in a vial with nitrogen. The vial was deposited in a desicator that was covered with a foil, to decrease the light effect on the MOCSER. The DNA was deposited from a 1-2 μl drop of the solution.

15 The adsorption on the MOCSER was monitored by 236-source-measure-unit of Keithley, USA.. The potential between the source and the drain was set to 100mV, and the current was measured as a function of time.

20 The hybridization process on the MOCSER was performed on the adsorbed sample while it is in the vial with nitrogen. The vial with the sample was put in an oven at $\sim 80^\circ\text{C}$. The complementary/ non-complementary strands were added from a drop of 1-2 μl solution of the DNA in water. The hybridization was performed for $\sim 100\text{min}$, while simultaneously the current through the MOCSER was monitored.

Example 1. Adsorption of ssDNA on GaAs surface

25 IR spectroscopy was used to investigate the adsorption of DNA on the GaAs surface and to examine the reactivity of the adsorbed DNA. First, the binding group was determined. Second, the hybridization process of the adsorbed DNA was characterized. The adsorbed DNA strands were either of the CF sequence (33 bases) or Control B2 sequence (20 bases), as described in section (b) above.

30 Fig. 2 shows the IR spectra of free DNA (Fig. 2A) and of the adsorbed ssDNA on GaAs (Figs. 2B,C). The free DNA was measured as a KBr pellet of dry

ssDNA (CF sequence, mixed with hexane). The adsorbed ssDNA was obtained from depositing a drop of DNA (Control B2) on a GaAs sample, as described in the Experimental section. The reference was a clean etched GaAs sample. The 'excess' spectrum (Fig. 2B) was taken after washing the adsorbed sample with ethanol. As a result of the washing, white solid was precipitated on the surface of some of the samples, and other samples had a dark covering layer. The 'rinsing' spectrum (Fig. 2C) was taken after rinsing the sample with water. Most of the visible cover material was removed and the surface was clear.

To determine the group that binds the DNA to the surface, the bands in the spectra are assigned (Table 1) and the shifts in the main bands of the bases and of the phosphate can be analyzed from the changes in the bands position.

In general, the spectrum of the free DNA (Fig. 2A) is similar to the spectrum of crystalline DNA, as was obtained by Urpi *et al.*, Nuc. Acid Res., 17:6669 (1989). The 'excess' spectrum (Fig. 2B) is similar to the spectrum of free DNA.

However, the spectrum after rinsing (Fig. 2C) is different from the two above.

The bands that are assigned to the bases of the DNA include the amide carbonyl band at $\sim 1690\text{--}1660\text{cm}^{-1}$, and the bands at $\sim 1650\text{--}1530\text{cm}^{-1}$ of the amide and of the double bonds in the bases ring. In this spectral range, the peaks in the 'excess' spectrum are similar in shape and position to those of the free DNA. However, in the excess spectrum the peak at 1665cm^{-1} of the free DNA is reduced and a new peak appears at 1648cm^{-1} . After rinsing, the relative intensity of this spectral range is reduced compared to the phosphate range. Within the bases spectral region, the relative intensity of the peaks is changed, but the positions are almost the same as in the excess spectrum. The peak in 1685cm^{-1} is shifted to 1686cm^{-1} and the peak at 1648cm^{-1} is shifted to 1639cm^{-1} . However, their relative intensity is reversed. The peak at 1602cm^{-1} is not seen in the 'adsorbed DNA' spectrum and it is probably a part of the broad band at 1639cm^{-1} . The peaks that were at 1575cm^{-1} and at 1531cm^{-1} are merged to one peak at 1562cm^{-1} in the rinsing spectrum.

Table 1 – The assignments of the IR bands (Figs. 2A-C) to the DNA groups

Peaks position of SsDNA in KBr pellet (free DNA) (cm^{-1})	Assignment	Peaks position of ssDNA after ethanol washing (excess) (cm^{-1})	Peaks position of ssDNA after rinsing (adsorbed DNA) (cm^{-1})
1692, 1665	C=O stretch in amide (Amide I)	1685	1686
1609, 1577, 1532	1. Double bonds (C=C, C=N) in the bases plane. 2. N-H bend in amide (Amide II)	1648 1602, 1575, 1531	1639 1562
1227	Phosphate antisymmetric stretching	1226, 1201	No separated peak
1089 1062	Phosphate symmetric stretching	1089 1057	1091
965	C-C, P-O	960	949
889	Sugar-phosphate	887	No peak

The bands at the spectral range of $\sim 1230\text{-}800\text{cm}^{-1}$ are assigned to the phosphate and sugar-phosphate vibrations at the backbone of the DNA. This spectral range in the 'excess' spectrum looks similar to the free DNA spectrum. Two separated areas are obtained: the range with a peak at $\sim 1227\text{cm}^{-1}$ and the range with the peak at $\sim 1062\text{cm}^{-1}$. The phosphate antisymmetric stretching at 1227cm^{-1} in the free DNA, is observed in the excess spectrum and additional peak is seen at

1201cm⁻¹. The phosphate symmetric stretching at 1062cm⁻¹ is slightly shifted to 1057cm⁻¹. The intensity of the peak at 1089cm⁻¹ is reduced, compared to the neighboring peaks.

After rinsing, the two areas of the phosphate bands are combined to a strong band with a peak at 1091cm⁻¹. The band at 1226cm⁻¹, is significantly shifted to lower frequencies and cannot be seen as separated peak now. The other area of the phosphate bands is shifted a little to the opposite direction. The peak at 960cm⁻¹ is only slightly shifted to 949cm⁻¹. The peak at 887cm⁻¹ from the excess spectrum is probably included within this broad band.

Based on the changes in the spectrum of the adsorbed DNA, compared to the excess DNA spectrum, we can conclude that the adsorption of ssDNA on GaAs is indicated by major shifts in the phosphate bands. Minor shifts are observed in the bands that are assigned to the bases.

Example 2. Reactions of the adsorbed DNA

Fig. 3 shows the IR spectra of the adsorbed ssDNA (solid line) after reactions with complementary (thick solid line) and with non-complementary (dashed and dotted lines) strands. The non-complementary strand is either the same sequence as the adsorbed strand or the same as the complementary sequence but modified by 3 bases.

Table 2 shows the positions of the main bands in the IR spectra, before and after the hybridization process.

The various spectra after the hybridization are different from each other in the relative intensities of the peaks. The intensity of the spectrum of complementary strand is slightly lowered, compared to the spectra of the non-complementary strands. However, the peaks positions appear at similar frequencies and only slightly shifted in energy from one another.

The bands that correspond to the bases, at the spectral range of ~1700-1550cm⁻¹, are slightly changed followed the hybridization. The shoulder at 1688cm⁻¹ in the spectrum of adsorbed ssDNA, is still observed after the hybridization. However, there are also new features in the range of 1665-1650cm⁻¹

and at 1619cm^{-1} , and the peak that is observed at 1638cm^{-1} in the adsorbed ssDNA is reduced after the hybridization.

Table 2 - The assignments of IR spectral bands (Fig.3) to the DNA groups.

5

Assignment	Peaks position of ssDNA after rinsing (adsorbed DNA) (cm^{-1})	Peaks position of ssDNA after Hybridization with complementary and with non-complementary strands (cm^{-1})
C=O stretch in amide (Amide I)	1688	1688 1665-1650
2. Double bonds (C=C, C=N) in the bases plane. 2. N-H bend in amide (Amide II)	1638 1560	1619 1560
Phosphate antisym. Stretching	No separated peak	1139-1134
Phosphate sym. Stretching	1091	1065-1061
C-C, P-O	955	995-985
Sugar-phosphate	No peak	864

The spectral range of the phosphate bands is changed followed the hybridization process. Instead of one broad band at 1090cm^{-1} , as is seen in the spectrum of adsorbed ssDNA, two peaks are observed, at $1139-1134\text{cm}^{-1}$ and at $1065-1061\text{cm}^{-1}$. These bands, in the spectrum of the complementary strand, are slightly shifted to higher frequencies, relative to the non-complementary strands.

The peak that is at 955cm^{-1} , in the rinsing spectrum, is shifted to higher frequencies followed the hybridization.

Example 3. Adsorption of double-stranded DNA (dsDNA)

- 5 Fig. 4 presents the IR spectrum of adsorbed dsDNA (thick solid line), which is compared to adsorbed ssDNA (solid line) and to the hybridization with complementary strand (dashed line). The dsDNA (control B2) was adsorbed on the GaAs samples in the same manner as the ssDNA.

10 Table 3 - The assignments of IR spectral bands (Fig. 4) to the DNA groups

Assignment	Peaks position of ssDNA, after rinsing (adsorbed DNA) (cm^{-1})	Peaks position of dsDNA, after rinsing (adsorbed DNA) (cm^{-1})	Peaks position of Hybridization with complementar y strand (cm^{-1})
C=O strech in amide (Amide I)	1691	1691	1691
3. Double bonds (C=C, C=N) in the bases plane 2. N-H bend in amide (Amide II)	1641	1641	1631
Phosphate antisym. Stretching	1190	1206	1091
Phosphate sym. Stretching	1097	1094 1061	1091
C-C, P-O	955	955	955
Sugar-phosphate	No peak	862	862

To determine that indeed the DNA is adsorbed through the phosphate, the spectrum of the dsDNA is compared to the spectrum of the adsorbed ssDNA. To further characterize the hybridization process, the spectrum of adsorbed ssDNA after the reaction with its complementary strand is compared to that of the adsorbed dsDNA. The bands in Fig. 4 are assigned in Table 3, above.

The features in the bases spectral range ($\sim 1700\text{-}1550\text{cm}^{-1}$) look similar in all the spectra. In the phosphate range, the antisymmetric stretching is observed in the dsDNA (1206cm^{-1}) and is shifted from that of the ssDNA (1190cm^{-1}). In the spectrum of the complementary strand, this peak is a part of the broad band that has a peak at 1093cm^{-1} . The symmetric stretching in the dsDNA appears at 1094cm^{-1} and at 1061cm^{-1} . In the spectra of the ssDNA and of the complementary strand, these bands appear at 1097cm^{-1} and at 1093cm^{-1} , respectively.

The dsDNA and the ssDNA spectral features are almost identical. The spectrum of the complementary strand is in general similar to that of dsDNA.

Example 4. MOC SER measurements

The effect of the adsorption of DNA on the current through the MOC SER was studied. After the adsorption of the ssDNA, the reactions with the complementary and with non-complementary strands were performed. The results are presented below. The CF sequence was used in these experiments.

4.1 Adsorption of ssDNA

Fig. 5 shows the change in the current through the MOC SER following the adsorption of ssDNA. A drop of ssDNA was deposited on the etched MOC SER, and the current was recorded as a function of time.

The current through the bare device, before the adsorption, was $1.54\mu\text{A}$. Following the drop deposition the current increases, after $\sim 15\text{min}$, to a value of $\sim 2.85\mu\text{A}$. A slower decrease in the current to a value of $1.98\mu\text{A}$ is observed after about 16hrs. After rinsing the MOC SER in water for 90sec, the current decreased

to 1.88 μ A (not shown in the graph).

Hence, the adsorption of ssDNA on the MOCSEr is indicated by a net increase in the current through the MOCSEr.

5 **4.2 Reactions of the adsorbed ssDNA**

Fig. 6 shows the change in current through the MOCSEr following the reactions of the adsorbed ssDNA. Fig. 6A shows the measured current during the hybridization with the complementary strand. Fig. 6B presents the measured current when the reaction with a non-complementary strand (F-508) was performed. Both reactions were measured for about 2h, at 60-85 $^{\circ}$ C.

The current through the bare devices, before the adsorption, was 1.94 μ A and 2.45 μ A for the devices used for obtaining the results shown in Figs. 6A and 6B, respectively. Following the drop deposition, the current significantly increased in both devices. Then, the current was decreased until it reached its final value, after about 80min. When a complementary strand was added the final current was 2.04 μ A, and in the case of the non-complementary strand, it was 2.5 μ A.

After cooling the devices, they were rinsed in water for 90sec. As a result, the current decreased to 1.85 μ A and to 1.82 μ A, with the complementary and with non-complementary strand, respectively (not shown).

The net increase in the current of the MOCSEr was higher for the device with the complementary strand, compared to that with non-complementary strand.

Example 5. X-ray Photoelectron Spectroscopy (XPS) measurements

XPS measurements were performed in order to further characterize the adsorption of DNA on GaAs surface. The XPS data provides a quantitative chemical analysis, which can be used to calculate the thickness of the layer. In addition, it can point at the actual binding to the substrate, via the corresponding line shifts and depth profile information.

The ssDNA and the dsDNA (CF sequences) were adsorbed on n-GaAs samples, in the procedure that is used for adsorption on GaAs.

5.1 The thickness of the adsorbed layer

Table 4 - Calculated thickness of the overlayer (in angstroms) of GaAs samples covered with ssDNA or with dsDNA.

Method of calculation And the take off angle	ssDNA	dsDNA
1. As, 90°	12.1	8.19
1. As, grazing angle (35° for ssDNA, 30° for dsDNA)	15.65	15.2
2. Ga, 90°	19.5	14.1
2. Ga, grazing angle	20.3	15.8
3. Coverage + substrate, 90° assuming: $\lambda_1 = \lambda_2$	16.2	12.2
corrected for: $\lambda_1 \neq \lambda_2$	15.5	11.6
3. Coverage + substrate, grazing angle assuming: $\lambda_1 = \lambda_2$	17.4	12.3
corrected for: $\lambda_1 \neq \lambda_2$	16.9	11.9

Based on the attenuation of the photoelectrons, the thickness of the adsorbed ssDNA and dsDNA can be calculated in three different methods. The first and the second one are based on the electrons ejected from the substrate only (from Ga and

As). Taking advantage of the fact the electrons attenuation is dependent on its kinetic energy, comparison of different Ga or As lines can yield the overlayer thickness. The third method is based on the ratio between the overlayer and the substrate signals. The calculated thickness values from the various methods are presented in Table 4, above.

The average calculated thickness is: 12-20Å and 8-16Å for adsorbed ssDNA and dsDNA, respectively. The calculated thickness in grazing take off angle is larger than in the normal angle. Clearly, the thickness calculated based on the signal from Ga is larger than the value obtained based on the As peak. This indicates the existence of As oxides at the interface. However, the relative concentration of Ga is larger than As. This might originate from using wrong sensitivity factor during the data analysis, or from oxidized Ga on the surface. This may be due to the fact that the oxidized As is spectrally resolved, but not the oxidized Ga. The average thickness of the oxide layer is calculated to be ~5Å (applying method-3 for the ratio between the oxide peak and the As peak). It seems that Ga oxides are considerably lower in intensity, hence, the electrons ejected from Ga "see" thicker coverage that contains both the adsorbed molecules and the oxides on the As (~5Å). Therefore, we conclude that the thickness of the DNA is ~10-15Å.

Example 6. MOCSEER arrays

The need to decipher the complexity of the genetic code and of gene expression for the purpose of drug design has dictated the need for a fast and efficient screen of a large number of DNA molecules. DNA screening is required for various applications, including a search of an unknown gene from a pool of known genes; identification of single-base mutations within a characterized gene, several thousand of bases long; or for the determination of the expression pattern of hundreds of genes in certain tissues. DNA arrays, also known as DNA chips, were developed as a tool to meet the technical challenge of such time-consuming screening applications. As such, DNA arrays appear to become the biotechnology tool of the next decade.

At the heart of the DNA chips technology lies a surface onto which the DNA samples to be screened are attached in an organized fashion, creating an array or a grid of spots. Each spot contains a different DNA sequence. In the technology used so far, the target or sample DNA, carrying a radioactive or a fluorescence label, is allowed to hybridize with the DNA attached to the array. The level of hybridization of the target DNA to the different spots of the array is detected by scanning the array and monitoring the level of labeling (radioactive, fluorescence, etc.) at each spot. The technical challenges presented by the technology of the DNA chips stem from the arrayed nature of the device: 1. Attaching a large number of different DNA sequences as discrete spots with high proximity onto a surface 2. The requirement for a perfect alignment between the detector grid and the array in order to achieve high-resolution detection of the hybridization signal within a closely spaced grid. These technical difficulties are the reason for the high cost of current commercially available DNA chips. In addition, the need to use a radioactive or a fluorescent DNA probe complicates both probe preparation and, more significantly, the analysis of the results due to a low signal to noise ratio.

The MOCSEER technology provides an excellent and superior alternative to DNA chips in scanning a large number of DNA target molecules with a DNA probe. Due to the ability of the MOCSEER to distinguish between single and double-stranded DNA by different electrical currents, each spot on a DNA array can be replaced by a MOCSEER unit, containing one type of single-stranded DNA probe. The difficulty in fabricating the arrayed spots in a traditional DNA chip is therefore eliminated. Upon addition of a non-labeled single-stranded target DNA to each MOCSEER unit, the level of hybridization of the target to each probe sequence within a MOCSEER unit is detected electrically. Therefore, the need for high resolution and perfect alignment between the detector and the array is overcome. In addition, no labeling of the DNA probe is required.

Discussion

On the base of the IR spectra analyzing and the XPS data, the phosphate is suggested as the binding group of the DNA to the GaAs surface. The adsorption of

DNA can be identified by the changes in the IR spectra. The excess DNA on the GaAs surface (Fig. 2B) has an IR spectrum that is similar to the free DNA (Fig. 2A). Both of the spectra are similar to the spectrum of crystalline DNA. The adsorption of DNA molecules through the backbone is indicated also by the
5 adsorption of dsDNA. The IR spectrum of the adsorbed dsDNA has similar spectral features as the adsorbed ssDNA (Fig. 4). The binding of dsDNA to the surface is possible only through the backbone and not through the bases, which are bound by hydrogen bonds. Therefore, we conclude that similar backbone-substrate binding occurs in the ssDNA.

10 The XPS measurements give further support to the conclusion that the adsorption of DNA is through the phosphate.

According to the invention, we showed the binding of DNA directly to the GaAs surface without any modification. This is in contrast to previously developed DNA sensors, in which the probe DNA was adsorbed onto the surface through an
15 additional binding group. On silicone surfaces, ssDNA is bound via a modified amino binding group, and on a gold surface DNA binding is done after modifying the adsorbed DNA with sulfides at the end.

When ssDNA is adsorbed on the MOCSEER a net increase in the current through the MOCSEER is observed (Fig 2). A sharp increase in the measured current
20 is followed immediately with the deposition of the DNA. This can be attributed to the solution, since we found that when we put a drop of pure water on the MOCSEER, a sharp increase in the measured current is observed (the results are not shown in this work). In the case of DNA adsorption, a net increase in the current is obtained even after very long time. This final increase in the current is due to the
25 change in the surface potential of the MOCSEER by the adsorbed DNA. This change causes a reduction in the depletion of the active layer, and hence the resistance of the MOCSEER decreases.

The selectivity of the hybridization process was studied both on the GaAs wafer and on the MOCSEER.

30 Considering the changes in the intensity of the IR spectra (Fig. 3), the intensity of the spectrum with the complementary strand (thick solid line) is

lowered, compared to the non-complementary strands (dashed and dotted lines). In particular, the intensity of the peaks is reduced for the bands of the bases at 1619cm^{-1} and 1560cm^{-1} , and at the phosphate band at 1139cm^{-1} . This can be explained by a stronger base-stacking interaction followed the hybridization process. Following the denaturation process (the separation of the dsDNA) the IR spectral bands of the bases and of the phosphate are increased. The results obtained after the adsorption of dsDNA (Fig. 4) provide further evidence to this conclusion. The intensity of the peaks of the bases and of the phosphate (especially, the peaks at 1641cm^{-1} and at 1206cm^{-1}) are lowered, compared to those of ssDNA. The spectrum obtained after reaction of a complementary strand with an adsorbed ssDNA (Fig. 4, dashed line) has higher intensity at these bands, compared to the dsDNA (Fig. 4, solid line). Additional single-strands that adsorb on the surface can explain this. They are partially adsorbed as ssDNA and not all were hybridized. As a result, the intensities of peaks related to the bases and to the phosphate are increased, compared to the dsDNA spectrum.

The hybridization on the MOCSEr resulted in a net increase in the measured current, both with the complementary and with the non-complementary strands. However, the current increased more following the reaction with the complementary strand.

The adsorbed DNA stabilizes the surface of the MOCSEr, as can be observed in the measured current, which is stable and shows high signal to noise ratio. This is an advantage in terms of stabilizing the GaAs surface of the MOCSEr.

After about 2 months from the adsorption of DNA, the MOCSErs that were kept in vacuum at room temperature, were still active (not shown). This result is important for the development of a sensor that can be active for long time.

The sensitivity of the MOCSEr is high. When a 1.3mM DNA solution was used, the change in the current was in the order of tenths of micro-amperes. The selectivity of the MOCSEr in the preliminary results looks promising, as we obtained different changes in the current in the reaction with a complementary strand, compared to the non-complementary strand.

The adsorption of DNA onto a GaAs surface is the first step towards the development of a DNA sensor that is based on the MOCSEER. In this work, DNA was bound directly onto the GaAs surface, through the phosphate groups, without using any modification of the surface or of the adsorbate. The chemistry of the adsorption onto the GaAs wafer was characterized. The electrical change in the MOCSEER as a result of the adsorption on its surface was determined.

The selectively binding of the complementary strands during the hybridization process is used in DNA sequence detectors. Here, we could distinguish both with the GaAs wafer and with the MOCSEER between the reaction of the adsorbed ssDNA with its complementary strand compared to the non-complementary strands.

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Claims

1. A semiconductor device for the detection of a target DNA or RNA, said device being composed of:

- 5 (i) at least one layer of a conducting semiconductor;
(ii) at least one insulating or semi- insulating layer;
(iii) at least one single-stranded DNA probe directly adsorbed on the surface of an upper layer which is either a conducting semiconductor layer (i) or an insulating or semi-insulating layer (ii); and
10 (iv) two conducting pads on the upper layer making electrical contact with the conducting semiconductor layer (i), such that electrical current can flow between them at a finite distance from the surface of the device.

2. A semiconductor device according to Claim 1, said device being composed
15 of one or more insulating or semi-insulating layers (1), one conducting semiconductor layer (2), two conducting pads (3), and a layer of at least one single-stranded DNA probe (4), characterized in that:

said conducting semiconductor layer (2) is on top of one of said insulating or semi-insulating layers (1),

20 said two conducting pads (3) are on both sides on top of an upper layer which is either said conducting semiconductor layer (2) or another of said insulating or semi-insulating layers (1), making electrical contact with said conducting semiconductor layer (2),

and said at least one single-stranded DNA probe (4) is adsorbed on the
25 surface of said upper layer, between the two conducting pads (3).

3. A semiconductor device for the detection of a target DNA or RNA, said device being composed of:

- 30 (i) at least one layer of a conducting semiconductor;
(ii) at least one insulating or semi- insulating layer;

(iii) an upper layer which is either a conducting semiconductor layer (i) or an insulating or semi-insulating layer (ii) on the surface of which upper layer can be directly adsorbed at least one single-stranded DNA probe; and

(iv) two conducting pads on the upper layer making electrical contact with the conducting semiconductor layer (i), such that electrical current can flow between them at a finite distance from the surface of the device.

4. A semiconductor device according to Claim 3, said device being composed of one or more insulating or semi-insulating layers (1), one conducting semiconductor layer (2), and two conducting pads (3), characterized in that:

said conducting semiconductor layer (2) is on top of one of said insulating or semi-insulating layers (1),

said two conducting pads (3) are on both sides on top of an upper layer which is either said conducting semiconductor layer (2) or another of said insulating or semi-insulating layers (1), making electrical contact with said conducting semiconductor layer (2),

and whereby on the surface of said upper layer, between the two conducting pads (3), may be directly adsorbed a layer of at least one single-stranded DNA probe (4).

5. A semiconductor device according to any one of Claims 1-4, wherein said conducting semiconductor layer (2) is a semiconductor selected from a III-V and a II-VI material, or mixtures thereof, wherein III, V, II and VI denote the Periodic Table elements III =Ga, In; V=As, P; II=Cd, Zn; VI=S, Se, Te.

6. A semiconductor device according to any one of Claims 1-5, wherein said conducting semiconductor layer (2) is doped n-GaAs or doped n-(Al,Ga)As.

7. A semiconductor device according to any one of Claims 1 to 6, wherein the one or more insulating or semi-insulating layers (1), that may serve as the base for the device, is a dielectric material selected from the group consisting of silicon

oxide, silicon nitride and an undoped semiconductor selected from a III-V and a II-VI material, or mixtures thereof, wherein III, V, II and VI denote the Periodic Table elements III =Ga, In; V=As, P; II=Cd, Zn; VI=S, Se, Te.

- 5 8. A semiconductor device according to Claim 7, wherein said undoped semiconductor is undoped GaAs or undoped (Al,Ga)As.
9. A semiconductor device according to any one of Claims 1 to 8, wherein said conducting semiconductor layer (2) of doped n-GaAs is on top of a semi-insulating layer (1) of (Al,Ga)As which is on top of another semi-insulating layer (1) of GaAs, and on top of said conducting semiconductor doped n-GaAs layer (2) there is a semi-insulating undoped GaAs layer (1) to which is attached said layer of said at least one single-stranded DNA (4) probe.
- 10 10. A semiconductor device according to any one of Claims 1 to 8, wherein said conducting semiconductor layer (2) of doped n-(Al,Ga)As is on top of an insulating layer (1) of undoped GaAs which is on top of a semi-insulating layer (1) of GaAs, on top of said conducting semiconductor doped n-(Al,Ga)As layer (2) there is a semi-insulating undoped (Al,Ga)As layer (1) on top of which there is an upper undoped GaAs semi-insulating layer (1), and said layer of at least one single-stranded DNA probe (4) is attached to the upper undoped GaAs semi-insulating layer (1).
11. A semiconductor device according to any one of Claims 1 to 10, wherein said at least one single-stranded DNA probe comprises a sequence complementary to a sequence of a target DNA or RNA.
12. A semiconductor device according to Claim 11, wherein said at least one single-stranded DNA probe comprises a sequence complementary to a mutation sequence of a gene responsible for a genetic disease or disorder.

13. A semiconductor device according to Claim 12, comprising two or more single-stranded DNA probes each of said probes comprising a sequence being complementary to a mutation sequence of a gene responsible for a genetic disease or disorder.

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14. An array of semiconductor devices according to any one of Claims 1 to 10, wherein each device in the array carries a different DNA probe.

15. An array of semiconductor devices according to Claim 14, wherein at least one of said devices in the array carries a DNA probe comprising a sequence complementary to a sequence of a target DNA or RNA.

16. An array of semiconductor devices according to Claim 15, wherein at least one of said devices in the array carries a DNA probe comprising a sequence complementary to a mutation sequence of a target gene responsible for a disease or disorder and at least another of said devices in the array carries a control DNA probe comprising a sequence complementary to the sequence of the normal gene corresponding to said mutation.

17. A method for the detection of a target DNA or RNA which comprises:

(i) exposing the single-stranded DNA probe of at least one semiconductor device according to any one of claims 1 to 10 or of an array according to claim 14, to a sample containing the target DNA or RNA, under hybridization conditions; and

(ii) monitoring either the current change resulting from the hybridization process when a constant electric potential is applied between the two conducting pads or measuring the change in the electric potential required to keep a constant current.

18. A method according to claim 17, wherein said single-stranded DNA probe comprises a sequence complementary to a sequence of said target DNA or RNA.

ABSTRACT

A semiconductor device is provided for the detection of a target DNA or RNA, for example for the detection of a mutation in a gene responsible for a genetic disorder, said device being composed of: (i) at least one layer of a conducting semiconductor such as doped n-GaAs or n-(Al,Ga)As; (ii) at least one insulating or semi-insulating layer such as of an undoped semiconductor, e.g., n-GaAs or n-(Al,Ga)As; (iii) at least one single-stranded DNA probe directly adsorbed on the surface of an upper layer which is either a conducting semiconductor layer (i) or an insulating or semi-insulating layer (ii); and (iv) two conducting pads on the upper layer making electrical contact with the conducting semiconductor layer (i), such that electrical current can flow between them at a finite distance from the surface of the device. In an alternative, the upper layer has no single-stranded DNA probe and such probe can be adsorbed on the upper layer by the user at will. The DNA probe may have a sequence complementary to a sequence of the target DNA or RNA, and the detection of the target DNA is carried out by hybridization with the probe and monitoring either the current change resulting from the hybridization process when a constant electric potential is applied between the two conducting pads or measuring the change in the electric potential required to keep a constant current. Also provided are arrays of said devices suitable for DNA analysis and detection.

1/6

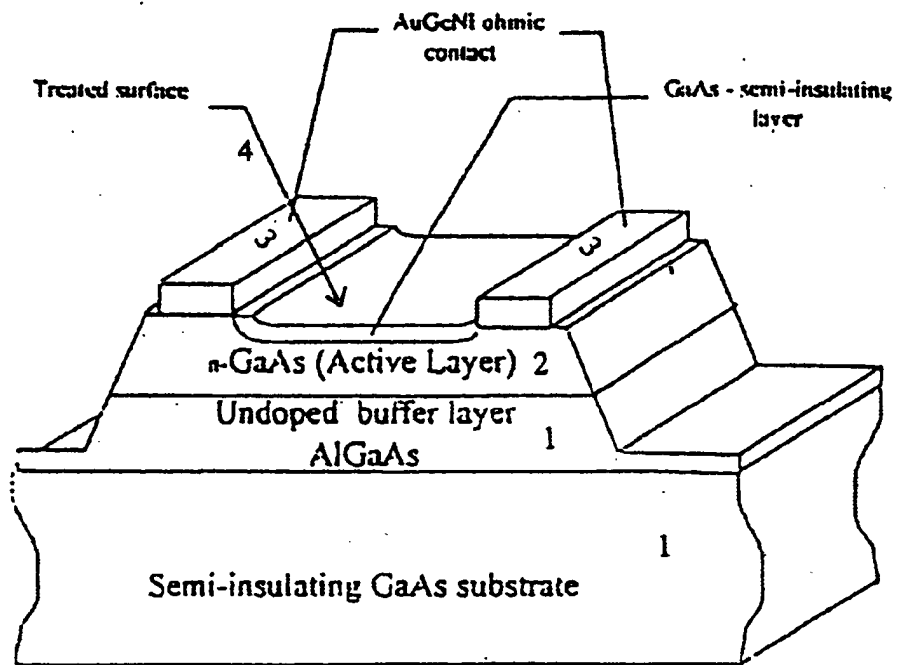


Fig. 1a

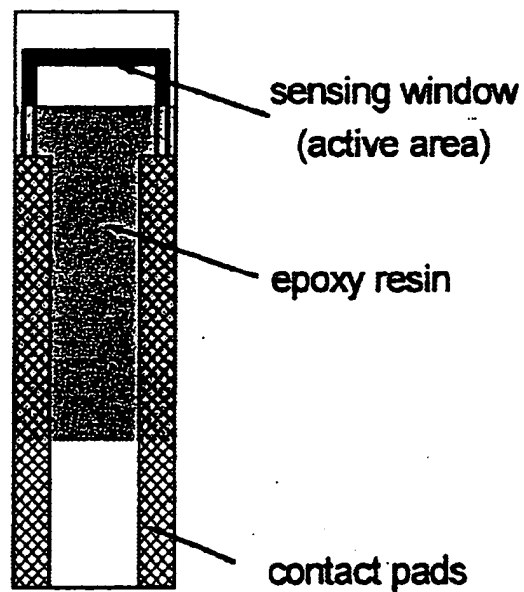


Fig. 1b

2/6

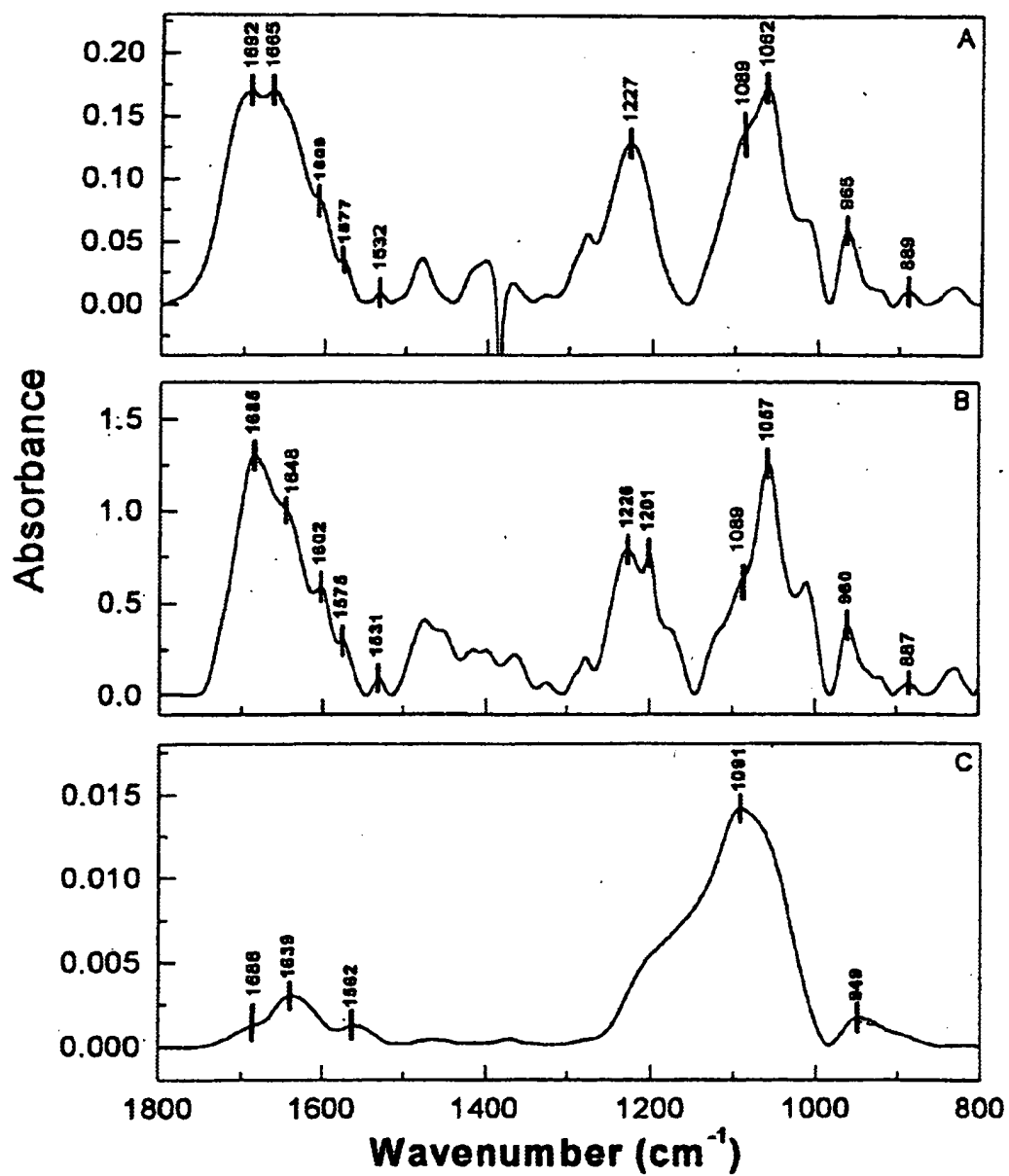


Fig. 2

3/6

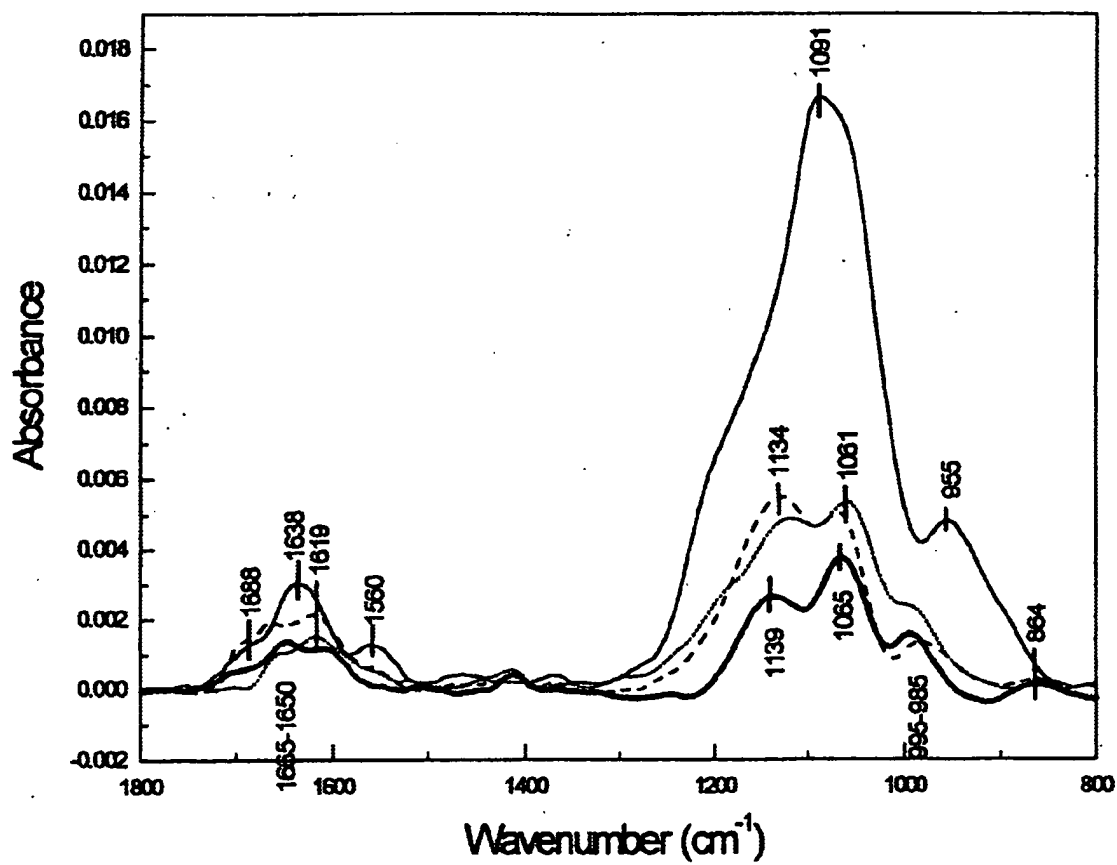


Fig. 3

4/6

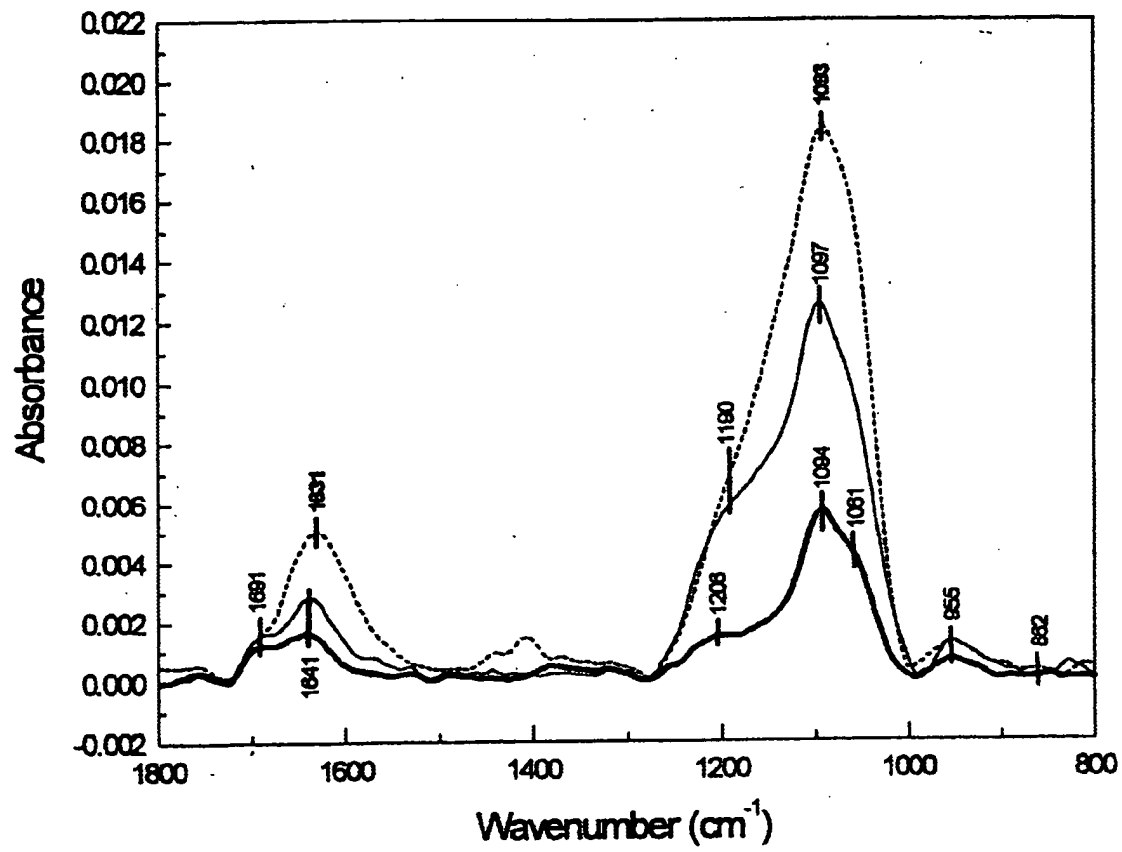


Fig. 4

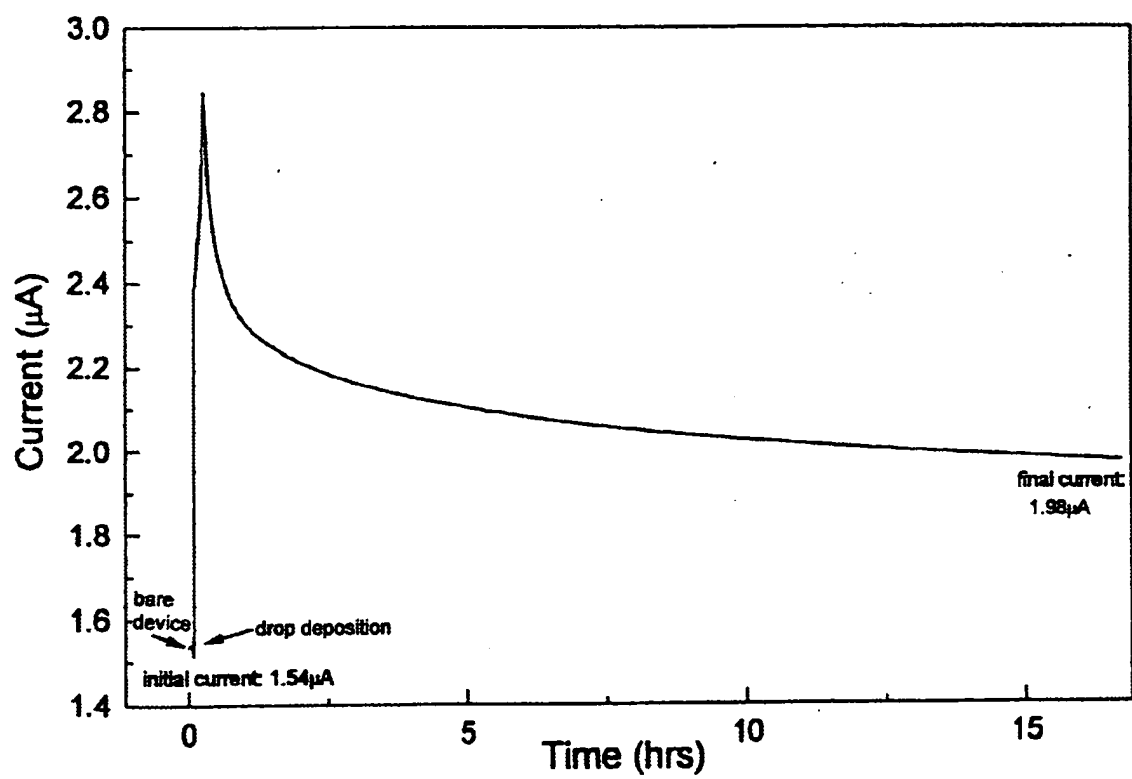


Fig. 5

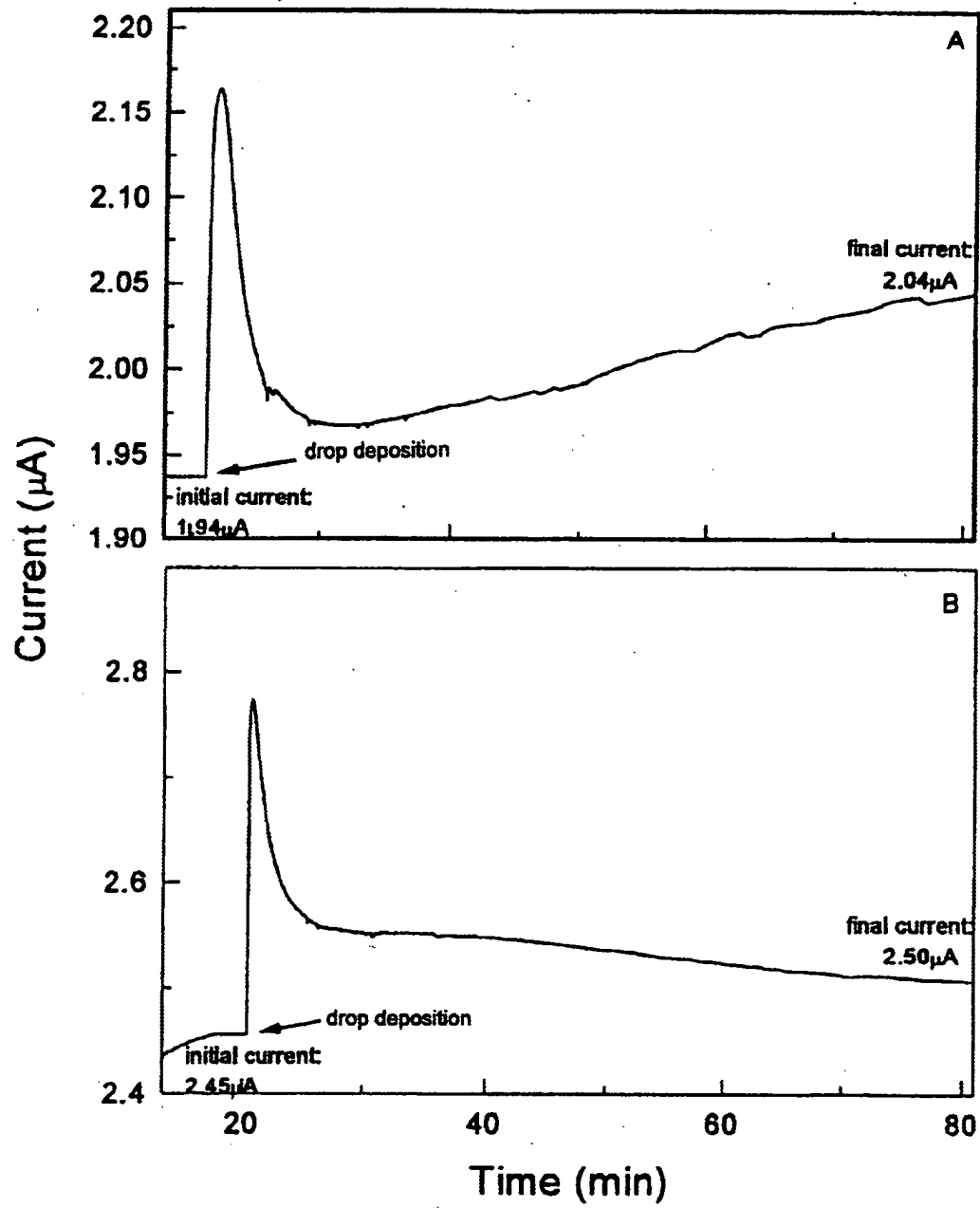


Fig. 6